

12-05-01

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S(\$ 10/97) jc678 U.S. PTO 11/01/01	<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Docket No D-3006
		Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTS  
**Box Patent Application**  
**Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

**APPARATUS AND METHODS FOR MONITORING ENCODER SIGNALS**

and invented by:

**JANNOTTA**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

jc760 U.S. PTO  
10/001312  
11/01/01

**Enclosed are Application Elements:**

- ☒ Filing Fee
- ☒ Specification having 35 page(s) and including the following:
  - ☒ Title of the Invention
  - ☐ Cross References to Related Applications *(if applicable)* (Shown in the Preliminary Amendment)
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Description of the Drawings
  - ☒ Detailed Description
  - ☒ Claim(s) as Classified Below
  - ☒ Abstract of the Disclosure
- ☒ 2 Sheets of Drawings(s) (37 CFR 113) ☒ Formal ☐ Informal
- ☒ Oath or Declaration ☒ Executed ☐ Unexecuted
  - ☐ Copy from prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- ☒ Power of Attorney ☒ Executed ☐ Unexecuted
  - ☐ Copy from prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- ☐ Incorporation By Reference -- The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche *(Appendix)*

**Accompanying Application Parts**

- ☐ Assignment Papers (cover sheets & documents(s))
  - ☐ The prior application is assigned of record to \_\_\_\_\_
    - ☐ Copy from prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*

☐ English Translation Document (if applicable)

Docket No.

☒ Information Disclosure Statement/PTO-1449

☒ Copies of \_ IDS Cited Reference(s)

☐ Preliminary Amendment

☒ Acknowledgment postcard

☒ Certificate of Mailing by Express Mail

☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

☒ Request for Non-Publication of Application

### Fee Calculation and Transmittal

\* The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

☒ Small entity status is entitled to be asserted for this application.

CLAIMS AS FILED					
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	38	- 20 =	18	X \$18.00	\$ 324.00
Independent Claims	8	- 3 =	5	X \$84.00	\$ 420.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$ 0.00
BASIC FEE					\$ 740.00
OTHER FEE (specify purpose) ASSIGNMENT RECORDATION FEE					\$0.00
TOTAL					1484.00
(Applicant has small entity status under 37 CFR 1.9 and 1.27) SMALL ENTITY STATUS					YES
TOTAL FILING FEE					\$742.00

☒ A check in the amount of \$637.00 to cover the filing fee and Assignment Fee is enclosed.

☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account Number 21-0890 as described below.

☐ Charge the amount of \$\_\_\_\_\_ as filing fee.

☒ Credit any overpayment.

☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

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IRVINE, CA 92618

phone:

fax:

Respectfully Submitted,



FRANK J. UXA

Attorney for Applicants

Reg. No: 25,612

D-3006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT

In re application of:

JANNOTTA

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Group Art Unit: N/A

Serial No. N/A

Examiner: N/A

Dated: Submitted herewith

For: APPARATUS AND METHODS FOR  
MONITORING ENCODER SIGNALS

Express Mail Mailing Label No. EL608287822US

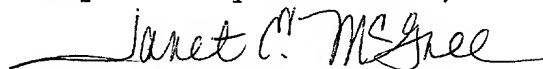
Date of Deposit: November 1, 2001

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box New App, Washington, D.C. 20231:

1. Transmittal Letter;
2. New Application;
3. 2 drawings (formal)
4. Declaration;
5. Request for Non-Publication;
6. Check in the amount of \$742.00;
7. Information Disclosure Statement; and
8. PTO 1449 and cited references.

The 8 above-identified documents are enclosed herewith.

Respectfully submitted,



Janet E. McGhee, Office of  
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FJUxa/jm

FOOTNOTES



**PATENT**

JANNOTTA

Group Art Unit: N/A

Examiner: N/A

For: APPARATUS AND METHODS FOR  
MONITORING ENCODER SIGNALS

UNDER 37 CFR 213 (a)

Dear Sir:

Applicant hereby requests that the above-identified application, filed concurrently herewith, **NOT BE PUBLISHED** under 35 USC 122(b). In making this request, applicant certifies that the invention disclosed in the application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at 18 months after filing.

Respectfully submitted,

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